Application Kit
Equity
INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients including promoters.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, shall match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals/allowed to trade subject to RBI and FEMA guidelines, copy of passport/Visa/ID/Document and overseas address proof is mandatory.
8. For foreign entities, CIN is optional and, in the absence of DIN no. for the directors, their passport copies should be given.
9. In case of Merchant Navy NRI’s, Master’s declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for aum or, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport/Identity Card/Birth Certificate must be provided.
11. Politically Exposed Persons (PEPs) are defined as: Individuals are those who are or have been entrusted with public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state owned corporations, important political party officials etc.

B. Proof of Identity (POI):
List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
3. Identity card/document with applicant’s Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional bodies such as ICIC, ICWAI, ICSI, Bar Council etc., to their Members, and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):
List of documents admissible as Proof of Address:

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill – Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Banks/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional bodies such as ICIC, ICWAI, ICSI, Bar Council etc., to their Members.
7. For F1/F1ab account, Power of Attorney given by F1/F1ab account to the Custodians (which are duly notarized and/or apostillized or consularized) that gives the registered address should be accepted.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(Sufficient documentary evidence is in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and/or officials appointed by Courts e.g. Official Liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. U/s entities/multilateral agencies exempt from paying taxes/levying tax returns in India.
4. SIP of Mutual Funds upto Rs. 5,000/- p.a.
5. In case of institutional clients, namely, HNIs, MFs, VCs, PFCs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-Individuals, over & above the POI & POA, as mentioned below:

<table>
<thead>
<tr>
<th>Types of entity</th>
<th>Documentary requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate</td>
<td>• Copy of the balance sheets for the last 2 financial years (to be submitted every year)</td>
</tr>
<tr>
<td>Partnership firm</td>
<td>• Copy of the balance sheets for the last 2 financial years (to be submitted every year)</td>
</tr>
<tr>
<td>Trust</td>
<td>• Copy of the balance sheets for the last 2 financial years (to be submitted every year)</td>
</tr>
<tr>
<td>HUF</td>
<td>• PAN of HUF</td>
</tr>
<tr>
<td>Unincorporated Association or a body of individuals</td>
<td>• Certificate of registration (for registered trust only)</td>
</tr>
<tr>
<td>Banks/Institutional Investors</td>
<td>• Certificate of registration or annual report/balance sheet for the last 2 financial years</td>
</tr>
<tr>
<td>Foreign Institutional Investors (FIIs)</td>
<td>• Certificate of SEBI registration certificate</td>
</tr>
<tr>
<td>Army/Government Bodies</td>
<td>• Certificate of Registration under Societies Registration Act</td>
</tr>
<tr>
<td>Registered Society</td>
<td>• Certificate of Registration or annual report/balance sheet for the last 2 financial years</td>
</tr>
</tbody>
</table>

Please Submit the KYC Documents on A4 Size Paper Only.
### MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Name of the Document</th>
<th>Brief Significance of the Document</th>
<th>Page No</th>
</tr>
</thead>
</table>
| 1      | Account Opening Form             | A. KYC form - Document captures the basic information about the constituent and an instruction/checklist.  
B. Document captures the additional information about the Constituent relevant to trading account and an instruction/check list. | 3 – 4   |
| 2      | Tariff Sheet                     | Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s). | 5 – 8   |
| 3      | Rights and Obligations          | Document stating the Rights & Obligations of stock broker/trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). | Annexure A |
| 5      | Guidance note                    | Document detailing do’s and don’ts for trading on exchange for education of investors               | Annexure C |
| 6      | Policies and Procedures          | Document describing significant policies and procedures of  
Stock Broker.                                                                                   | Annexure D |

### VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Name of the Document</th>
<th>Brief Significance of the Document</th>
<th>Page No</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>Undertaking</td>
<td>Contains voluntary undertakings by the client with respect to Zerodha's internal operations during the course of the relationship with Zerodha.</td>
<td>10 – 11</td>
</tr>
<tr>
<td>8</td>
<td>Running Account Authorization and Mobile Declaration</td>
<td>Letter of Authorization for maintaining a Running Account with Zerodha and Client consent letter for receiving SMS from Zerodha</td>
<td>12</td>
</tr>
<tr>
<td>9</td>
<td>Client Defaulter Declaration and Client Acknowledgment</td>
<td>Client declaration stating that he is not a defaulter and Client acknowledgment that he has read all the rules &amp; regulations.</td>
<td>13</td>
</tr>
</tbody>
</table>

---

**Name of the Trading Member**: Zerodha Broking Limited (hereinafter referred to as “Zerodha”)

**Name of the Clearing Member**: Zerodha Broking Limited

**Cash Segment**: NSE, BSE : Zerodha Broking Limited

**Derivatives**

- NSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ000031633  
- CDS: Zerodha Broking Limited SEBI Registration No. INZ000031633  
- COM: Zerodha Broking Limited SEBI Registration No. INZ000031633  
- BSE: F&O: Zerodha Broking Limited SEBI Registration No. INZ000031633  
- CDS: Zerodha Broking Limited SEBI Registration No. INZ000031633  
- COM: Zerodha Broking Limited SEBI Registration No. INZ000031633

**SEBI Registration Number:**

Zerodha Broking Limited: Member of NSE & BSE – INZ000031633  
CDSL: Depository services through Zerodha Securities Pvt. Ltd. – IN-DP-431-2019

**Zerodha’s Registered Office:**


**Correspondence Address**: Same as above

**Compliance Officer**: Venu Madhav, Phone No. & Email ID: 080-40402020, compliance@zerodha.com  
**CEO**: Nithin Kamath, Phone No. & Email ID: 080-40402020, ceo@zerodha.com  
For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8040402020.

If not satisfied with the response, please contact the concerned exchange at:

1. **NSE**: ignse@nse.co.in or contact at 022-26598100  
2. **BSE**: is@bseindia.com or contact at 022-22728097

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**Sign where you see**:

[Signature]
KNOW YOUR CLIENT (KYC) Application Form - For Non Individuals

Please fill this form in ENGLISH and in BLOCK LETTERS
(If you tick ✔ the box on left margin of appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding row)

IDENTITY DETAILS

1. Name of the Applicant

2a. Date of Incorporation: D M Y
2b. Place of Incorporation

3. Date of commencement of business: D M Y

4a. PAN

4b. Registration No. (e.g. CIN)

5. Status (Please tick ✔ the appropriate)
   - Private Limited Co.
   - Public Ltd. Co.
   - Body Corporate
   - Partnership
   - Trust
   - Charities
   - NGO’s
   - FI
   - Non-Government Organization
   - Defense Establishment
   - AOP
   - Bank
   - Government Body
   - HUF
   - BOI
   - Society
   - LLP
   - FPI - Category I
   - FPI - Category II
   - FPI - Category III
   - Others (Please specify)

ADDRESS DETAILS

1. Address for Correspondence
   - City / Town / Village
   - State
   - Pin Code
   - Country

2. Specify the Proof of Address submitted for Correspondence Address:

3. Contact Details
   - Tel. (Off.)
   - Tel. (Res.)
   - E-Mail Id.
   - Fax
   - Mobile No

4. Registered Address (If different from above)
   - City / Town / Village
   - State
   - Pin Code
   - Country

OTHER DETAILS (If space is insufficient, enclose these details separately [Illustrative format enclosed])

1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:

2a. DIN of whole time directors:

2b. Aadhar number of Promoters/Partners/Karta:

DECLARATION

I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it.

Date: D M Y

Name & Signature of the Authorised Signatory

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the person who has done the IPV:

Designation: ___________________________ Employee ID: ___________________________

Name of the organization: Zerodha Broking Ltd.

Date of IPV: D M Y

Signature of the person who has done the IPV: ___________________________

Seal/Stamp of the Intermediary: ___________________________

☐ Originals Verified and Self Attested Document copies received

Date: ___________________________ Name and Signature of the Authorised Signatory: ___________________________
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Name</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Relationship with Applicant (i.e., promoters, whole time directors etc.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3a. PAN</td>
<td>3b. DIN</td>
<td></td>
</tr>
<tr>
<td>3c. Aadhar (UID) Number</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Residential/Registered Address</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City/Town/Village</td>
<td>State</td>
<td>Country</td>
</tr>
<tr>
<td>Pin Code</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*PHOTOGRAPH*

Please affix your recent passport size photograph and sign across it.
### TRADING ACCOUNT RELATED DETAILS

#### A. BANK ACCOUNT DETAILS

<table>
<thead>
<tr>
<th>Bank Name</th>
<th>Branch Address</th>
<th>Account Number</th>
<th>MICR Number</th>
<th>IFSC Code</th>
</tr>
</thead>
</table>

**Account Type:** Savings □  Current □  Others □  |  **In case of NRI Account:** NRE □  NRO □

#### B. OTHER DETAILS

**Gross Annual Income Details** (please specify): Income Range per annum

- Below Rs 1 Lakh □  
- 1-5 Lakh □  
- 5-10 Lakh □  
- 10-25 Lakh □  
- 25 Lakh to 1 Crore □  
- >1 Crore □

**Net-worth as on** (date) __________________ (___________________) (Net worth should not be older than 1 year)

**Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note:** Physical □  Electronic □

#### C. DEPOSITORY ACCOUNT(S) DETAILS

<table>
<thead>
<tr>
<th>Depository Participant Name</th>
<th>Beneficiary Name</th>
</tr>
</thead>
</table>

**Depository Name:** NSDL □  CDSL □

#### D. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years ____________________________

#### E. DEALINGS THROUGH SUBBROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

<table>
<thead>
<tr>
<th>Sub-broker’s Name</th>
<th>SEBI Registration number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered Office Address</td>
<td></td>
</tr>
<tr>
<td>Phone</td>
<td>Fax</td>
</tr>
</tbody>
</table>

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

<table>
<thead>
<tr>
<th>Name of Stock Broker</th>
<th>Name of Sub Broker, if any</th>
</tr>
</thead>
<tbody>
<tr>
<td>Client Code</td>
<td>Exchange</td>
</tr>
</tbody>
</table>

Details of disputes/dues pending from/to such stock broker/sub-broker: ____________________________

#### F. INTRODUCER DETAILS (optional)

<table>
<thead>
<tr>
<th>Name of the Introducer</th>
<th>Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client/Others (please specify):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address of the Introducer</td>
<td></td>
</tr>
<tr>
<td>Phone Number</td>
<td>Signature</td>
</tr>
</tbody>
</table>

---

In case of non-individuals, for any of your Authorized Signatories/Promoters/Partners/Karta/Trustees/Whole time Directors

Please tick, if applicable: Politically Exposed Person (PEP) □  Related to a Politically Exposed Person (PEP) □
G. TRADING PREFERENCES / EXPERIENCE

No Prior Experience □

Years in Equities _____ / Years in Derivatives _____ / Years in other Investment Related Field _____

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

<table>
<thead>
<tr>
<th></th>
<th>Cash</th>
<th>F&amp;O</th>
<th>Currency derivatives</th>
<th>Commodity derivatives</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSE</td>
<td>F3 (a)</td>
<td>F3 (b)</td>
<td>F3 (c)</td>
<td>F3 (d)</td>
</tr>
<tr>
<td>BSE</td>
<td>F3 (e)</td>
<td>F3 (f)</td>
<td>F3 (g)</td>
<td>F3 (h)</td>
</tr>
</tbody>
</table>

# If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

H. ADDITIONAL DETAILS

(i) Whether you wish to receive (a) Physical contract note □ (b) Electronic Contract Note (ECN) □

If ECN Specify your Email id: _______________________________________________________________________________________________

(ii) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading YES □ NO □

In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of person/s authorized to deal in securities on behalf of the company/firm/others:

________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________

Any Other Information: ______________________________________________________________________________
DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

3. I/We further confirm having read and understood the contents of the ‘Rights and Obligations’ document(s) and ‘Risk Disclosure Document’. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker’s designated website, if any.

Place ______________________________________________________

Date _____________________________

UCC Code allotted to the Client: ________________________________________

Name of the Employee

Employee Code

Designation of employee

Date

Signature

Instructions/Checklist - As mentioned in the Circular NSE/INSP/18677 dated August 22, 2011 (Annexure 3)

FOR OFFICE USE ONLY

Documents verified with Originals

Client Interviewed By

In-Person Verification done by

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date__________________________

Seal/Stamp of the stock broker
Internet & wireless technology based trading facility provided by stock broker to the client

(All the clauses mentioned in the “Rights and Obligations” document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker’s IBT Service to the Client, and the Client shall avail of the Stock broker’s IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker’s IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The stock broker shall bring to the notice of the client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.

4. The stock broker shall make the client aware that the Stock Broker’s IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.

5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker’s IBT System using the Client’s Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.

6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker’s IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.

7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client’s Username/password in any manner whatsoever.

8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker’s IBT Service will be available to the Client at all times without any interruption.

10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker’s IBT System or Service or the Exchange’s service or systems or non-execution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/being explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

3. I/We further confirm having read and understood the contents of the ‘Rights and Obligations’ document(s) and ‘Risk Disclosure Document’. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker’s designated website, if any.

Client Name ___________________________________________
Place ___________________________
Date : [DDMMYYYY]

F5
Client Signature

Sign wherever you see ✍️
TARIFF SHEET

To
ZERODHA BROKING LIMITED
153/154, 4th Cross, Dollars Colony, Opp. Clarence Public
School, J.P. Nagar, 4th Phase Bangalore - 560078

Charges for Zerodha Trading Services

I/ We agree to pay the charges as per following charges structure for our Trading account with Zerodha effective

Client ID: ________________

<table>
<thead>
<tr>
<th>Charge Head</th>
<th>Brokerage / Charges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equity Intraday</td>
<td>Rs 20 per executed order or 0.03% of Turnover whichever is lower</td>
</tr>
<tr>
<td>Equity Delivery</td>
<td>Rs 20 per executed order or 0.1% of Turnover whichever is lower</td>
</tr>
<tr>
<td>Futures</td>
<td>Rs 20 per executed order or 0.03% of Turnover whichever is lower</td>
</tr>
<tr>
<td>Options</td>
<td>Rs 20 per executed order</td>
</tr>
<tr>
<td>Currency Futures</td>
<td>Rs 20 per executed order or 0.03% of Turnover whichever is lower</td>
</tr>
<tr>
<td>Currency Options</td>
<td>Rs 20 per executed order</td>
</tr>
<tr>
<td>Call &amp; Trade Services</td>
<td>Rs 50 per order placed through a Dealer at Zerodha</td>
</tr>
</tbody>
</table>

Disclaimer: Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges. A brokerage of 0.5% of the contract value will be charged for contracts where physical delivery happens. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges:

Note:
Brokerage will not exceed the rates specified by SEBI and the Exchanges
All Statutory and Regulatory charges will be levied at actuals
Brokerage is also charged on expired, exercised, and assigned Options contracts
*Turnover for Options is calculated as (Strike + Premium) * Lot Size

Charges for other value added services will be applicable at the time of availing such service, upon your consent. Detailed explanation of all charges is available online at: https://zerodha.com/charge-list

Date: _____________________

Client Signature

Sign wherever you see
1. ORDER PLACEMENT INSTRUCTIONS
I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even if I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting / calling/ Emailing the Call centre / branch specified for the said purpose by ZERODHA in case of breakdown of internet connectivity or other similar reasons.
I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify ZERODHA and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2. ERRORS AND OMISSIONS
I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances ZERODHA shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold ZERODHA responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by ZERODHA.

3. NO MARKET MANIPULATION
I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, ZERODHA has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKER
I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients In case I wish to deal for other clients also, I undertake to apply to SEBI through ZERODHA to obtain a sub broker registration. In case ZERODHA perceives that I am acting as an unregistered sub broker, ZERODHA has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to ZERODHA without the requirement of any notice from ZERODHA. Further, ZERODHA has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify ZERODHA from any loss/ damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR
I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I agree to inform ZERODHA, in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me in future. In case I fail to inform the same and ZERODHA on its own comes to know of such action, ZERODHA has the right to suspend/close my trading account and refuse to deal with me. Also, ZERODHA can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

6. PMLA DECLARATION
I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by ZERODHA officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.
   I further undertake and confirm that;
   a. I do not have any links with any known criminal
   b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION
I hereby indemnify and hold ZERODHA, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the ZERODHA directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.
INDEMNITY OF JOINT HOLDINGS
I hereby agree to indemnify and hold ZERODHA harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

8. BSE SIAR MUTUAL FUND FACILITY
I am interested in availing the STAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Fund Schemes permitted to be dealt with on the SIAR platform of the Exchange. For the purpose of availing the STAR Mutual Fund facility, I state that “Know Your Client” details as submitted by me for the opening of Trading Account may be considered for the purpose of STAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

9. DELAYED PAYMENT CHARGES
I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Zerodha's website.

10. NRI DECLARATION
I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to ZERODHA every time the investment is made.

A. THIRD-PARTY PAYMENTS
ZEROQA shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by ZERODHA. ZERODHA shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by ZERODHA and the credit for the same has been given in the client's ledger, ZERODHA shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, ZERODHA reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. ZERODHA, its Directors and employees shall not be responsible for any consequential damages or losses.

B. NO DEALINGS IN CASH
ZEROQA as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub- Broker/Remisier/Employee/Authorised Person of ZERODHA.

C. DISCLOSURE OF PROPRIETARY TRADING BY ZERODHA
Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, ZERODHA discloses to its clients about its policies on proprietary trades. ZERODHA does proprietary trades in the cash and derivatives segment at NSE, BSE, and MSEI.

D. DELIVERIES
The client shall ensure that the shares are properly transferred to the designated demat account of ZERODHA, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/ZERODHA. In case the client fails to transfer the shares on time to ZERODHA, ZERODHA shall not be responsible for any loss/damages arising out of such delayed transfers.

E. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)
The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then ZERODHA has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. ZERODHA, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of ZERODHA.

After such square off of open positions by ZERODHA, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, ZERODHA shall have the right to liquidate the shares and other securities of the client (kept as collateral-margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. ZERODHA, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.
I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.

2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.

3. I/We request you to settle my fund and securities account (Choose one Option)

☐ Once in a calendar Month
☐ Once in every calendar Quarter except the funds given towards collaterals / margin in form of Bank Guarantee and/or Fixed Deposit Receipt

4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement related to it, as the case may be at your registered office.

6. I/We confirm you that I can revoke the above mentioned authority at any time.

7. All accounts with credit balances of Rs. 10000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849, 20091204-7.

8. This running account authorization would continue until it is revoked by me.

Yours faithfully,

______________________________
[Client Signature]

Date: ______________________

MOBILE DECLARATION

The entity ___________________________ having PAN __________________ do hereby declare that my mobile no. is __________________________ Further, I authorize ZERODHA that the same may be used for giving me any information/alert/SMS.

I further declare the above mentioned statement is true and correct.

______________________________
[Client Signature]
CLIENT DEFAULTER DECLARATION

The entity ______________________________ having PAN __________________________ declare that we have not been involved in any terrorist activities and we have not been declared a defaulter or my name is not appearing in any defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc.

I further declare that the above mentioned declaration/ statement is true and correct.

To
Zerodha Broking Limited
153/154, 4th Cross, Dollars Colony, Opp. Clarence Public School, J.P. Nagar, 4th Phase Bangalore - 560078

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sub: Acknowledgement

<table>
<thead>
<tr>
<th>Sl. No</th>
<th>Brief significance of the Document</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Duly Executed Copy of KYC</td>
</tr>
<tr>
<td>2</td>
<td>Rights and Obligations</td>
</tr>
<tr>
<td>3</td>
<td>Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments</td>
</tr>
<tr>
<td>4</td>
<td>Guidance Note - Do's and Don'ts for trading on the Exchange(s) for investors</td>
</tr>
<tr>
<td>5</td>
<td>Zerodha Tariff Sheet</td>
</tr>
<tr>
<td>6</td>
<td>Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009</td>
</tr>
<tr>
<td>7</td>
<td>General Terms &amp; Conditions governing securities trading and broking services of Zerodha</td>
</tr>
<tr>
<td>8</td>
<td>Running Account Authorization, Defaulter Declaration, &amp; Mobile Declaration</td>
</tr>
</tbody>
</table>

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of ZERODHA

Yours faithfully,

Client Name: ______________________________

[Signature]

[Signature]
### Additional KYC Form for Opening a Demat Account

#### For Individuals

(To be filled by the Depository Participant)

<table>
<thead>
<tr>
<th>Application No</th>
<th>Date</th>
<th>DP Internal Reference No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per following details:-

#### Holder Details

<table>
<thead>
<tr>
<th>Sole / First Holder’s Name</th>
<th>PAN</th>
<th>UID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Second Holder’s Name</th>
<th>PAN</th>
<th>UID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Third Holder’s Name</th>
<th>PAN</th>
<th>UID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

#### Type of Account (Please tick whichever is applicable)

<table>
<thead>
<tr>
<th>Status</th>
<th>Sub – Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual</td>
<td>☐ Individual-Resident ☐ Individual Director’s Relative ☐ Individual Promoter ☐ Individual Margin Trading A/c (MANTRA)</td>
</tr>
<tr>
<td>NRI</td>
<td>☐ NRI Repatriable ☐ NRI Repatriable Promoter ☐ NRI – Depository Receipts ☐ NRI Non-Repatriable ☐ NRI Non-Repatriable Promoter ☐ Others (specify)</td>
</tr>
<tr>
<td>Foreign National</td>
<td>☐ Foreign National ☐ Foreign National - Depository Receipts ☐ Others (specify)</td>
</tr>
</tbody>
</table>

#### Details of Guardian (in case the account holder is minor)

<table>
<thead>
<tr>
<th>Guardian’s Name</th>
<th>PAN</th>
<th>UID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Relationship with the applicant

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit] ☐ Yes ☐ No
(If not marked, the default option would be ‘Yes’)

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end [Automatic Credit] ☐ Yes ☐ No
(If not marked, the default option would be ‘No’)

#### Account Statement Requirement

☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Monthly ☐ Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following ☐ Yes ☐ No

#### Email ID

I / We would like to share the email ID with the RTA ☐ Yes ☐ No

I / We would like to receive the Annual Report ☐ Physical ☐ Electronic ☐ Both Physical and Electronic
(Tick the applicable box. If not marked the default option would be in Physical)
I/We would wish to avail the following facility:
Basic Service Demat Account facility (BSDA)  □ Yes  □ No

**Nomination details** (Please tick the appropriate options)

□ I / We do wish to nominate (Fill Annexure 1A)
□ I / We do not wish to nominate

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS.  □ Yes  □ No
(If not marked, the default option would be ‘Yes’) [ECS is mandatory for locations notified by SEBI from time to time]

**Bank Details [Dividend Bank Details]**

<table>
<thead>
<tr>
<th>Account type</th>
<th>Saving □</th>
<th>Current □</th>
<th>Others (specify) □</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bank Code (9 digit MICR code)</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>IFS Code (11 character)</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Bank Name</th>
<th>Account number</th>
<th>Branch Name</th>
<th>Bank Branch Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>City</td>
<td>State</td>
<td>PIN code</td>
<td></td>
</tr>
</tbody>
</table>

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the bank statement having name and address of the BO
- (iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

**Other Details**

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh □  1-5 Lakh □  5-10 Lakh □  10-25 Lakh □  >25 Lacs □

Or Net-worth as on ______________________ date ______________________ (Net worth should not be older than 1 year)

Occupation: ______________________

Please tick, if applicable: Politically Exposed Person (PEP) □  Related to Politically Exposed Person (RPEP) □

Any other information:

---

**SMS Alert Facility**

Refer to Terms & Conditions given in Annexure - 2.4

MOBILE NO. +91 ________________

[Optional, if you are giving Power of Attorney (POA)]

(if POA is not granted & you do not wish to avail of this facility, cancel this option).

---

**Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6**

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.

/I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST

□ Yes  □ No

<table>
<thead>
<tr>
<th>Stock Exchange Name/ID</th>
<th>Clearing Member Name</th>
<th>Clearing Member ID (Optional)</th>
</tr>
</thead>
</table>

---

**Easi**

To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.
# Schedule-A Tariff Structure

## For individuals/HUF/corporates

<table>
<thead>
<tr>
<th>Details</th>
<th>POA Clients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stamp charges payable upfront</td>
<td>Rs. 50/-</td>
</tr>
</tbody>
</table>

### Annual Maintenance Charges (charged quarterly)

| a. Individuals/Non Individual (except Corporate) | Rs. 300/- + GST |
| b. Non Individual - Corporate                   | Rs. 1000/- + GST |

### Transaction Charges: (Market Trades)

| a. Buy (Receive)                              | Nil          |
| b. Sell (Debit)                               | Rs. 8/- + Rs. 5.50/- (CDSL Charges) |

### Transaction Charges: (Off-Market Trades)

| a. Buy (Receive)                              | Nil          |
| b. Sell (Debit)                               | 0.03% or Rs. 25/- whichever is higher |

- **Demat (Per certificate)**: Rs. 150/- per certificate
- **Remat (Per certificate)**: Rs. 150/- per certificate + CDSL Charges
- **Courier charges per Demat/Remat/Demat Rejn.**: Rs. 100/-
- **Pledge Request**: Rs. 20/- + Rs. 12 per request (CDSL Charges)
- **Unpledge Request**: Rs. 20/- + Rs. 12 per request (CDSL Charges)
- **Pledge invocation**: Rs. 20/-

### Periodic Statement

| a. By Email                                   | Free         |
| b. Physical                                   | Rs. 50/- (+ Courier charges at actual) |

### Adhoc / Non Periodic Statement Requests

| a. By Email                                   | Rs. 10/- per request |
| b. Physical                                   | Rs. 50 per request up to 10 pages. Every additional page at Rs. 5 (+Courier charges at actual) |

### Delivery instruction

| a. First Delivery Instruction Book            | Free (10 Leaves) |
| b. Every Addl Booklet (10 Leaves)             | Rs. 100/- |
| Cheque Bounce Charges                         | Rs. 350/- |
| Failed Transactions                           | Rs. 50 per ISIN |
| Modification in CML                           | Rs. 25/- per request |
| KRA Upload / Download                         | Rs. 50/- |

### NOTE:

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Zerodha Broking Ltd. reserves the right to freeze depository account for debit transaction in case of non-payment of charges after two days from the bill date.

Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non-refundable. GST is applicable on all above charges except stamp charges.

---

**F12**

First/Sole Holder or Guardian (in case of Minor)

**S1**

Second Holder

**T1**

Third Holder

Sign wherever you see
Date: __________

To,
Zerodha Broking Ltd.

Dear Sir,

Subject: Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12081600__________ at following email address: ___________________________.

I/We fully agree and are aware of following Terms and Conditions mentioned below.

(a) that I/We will not receive the bill, transaction and holding statements in paper form.

(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.

(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Zerodha Broking about change in email address, if any.

In case, the Zerodha Broking is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Zerodha Broking Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:

Daily ☐ Fortnightly ☐ Weekly ☐ Monthly ☐

Yours faithfully,

[Signatures]

Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

[Signatures]
To,
Zerodha Broking Ltd.

Dear Sir,
I/We hereby state that: [select one of the option given below]

Option 1:
I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA) in favour of Zerodha Broking Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

Option 2:
I/We do not require the Delivery Instruction Slip (DIS) for the time being, Since I/We have issued a POA in favour of Zerodha Broking Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1 ☐ Option 2 ☐

Client Mobile Number

Declaration
I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs./Ms. __________________________ who is my __________________________ [relationship with the client]

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]
Power Of Attorney (Voluntary)

To all to whom these presents shall come I/we the below mentioned send greetings.

Whereas I/we have established a business relation with Zerodha Broking Ltd., an entity registered with Securities and Exchange Board of India (SEBI) under Registration No IN-DP-100-2015, by virtue of opening a beneficial owner account with Central Depository Services (India) Limited (CDSL)

And Whereas I/we am/are desirous to buy and sell securities through Zerodha Broking Limited (hereinafter referred to as “Zerodha”), a body corporate registered under the Companies Act of 2013 under CIN: U65929KA2018PLC116815, having its registered office at 153/154, 4th Cross, Dollars Colony, Opposite Clarence School, J.P Nagar, 4th Phase, Bangalore KA 560078 and is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE) & Bombay Stock Exchange limited (BSE) respectively (hereinafter referred to as “the Exchange/s”), who is a stock broker registered with SEBI under registration no. INZ000031633 and a member of recognized stock exchange/s.

And Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint Zerodha Broking Limited (Member Broker), hereinafter referred to as “Zerodha” acting through their Director/s and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Zerodha Broking Ltd. (Depository Participant) DP ID 12081600 and Client ID as mentioned below, to execute and perform severally all such acts and deeds provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock brokers.
KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr./Ms./M/s. (First holder) ____________________________
(Second holder) ____________________________ (hereinafter referred to as “Beneficial Owner”) wish to
avail/have availed the broking/e-broking facilities and services offered (hereinafter referred to as “Services”) by Zerodha who is a
Member (Trading Member) of the National Stock Exchange of India Limited (NSE) & Bombay Stock Exchange limited (BSE)
respectively (hereinafter referred to as “the Exchange”).

Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, Zerodha would be
acting through their director/s and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository
account with Zerodha Broking Ltd. (Depository Participant) DP ID 12081600, Client ID ___________ to execute and perform severally
the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services
offered by them in their capacity as stock brokers.

1. To have and exercise the powers and/or authority, to do and/or execute the acts, deeds, matters and things specified in Mandatory and
Voluntary Client Registration Documents between Zerodha and the Beneficial Owner as may be applicable in relation to the transactions
executed by the client.
2. To Operate depository account(s) for the purpose of transferring any collateral to the margin account and honouring delivery obligations
for any transaction executed with Zerodha Broking Ltd. which is registered as a Depository Participant, as a stock broker registered with
Securities and Exchange Board of India (SEBI).
3. To Sign instructions on my behalf with respect to debit/credit the depository account(s) for the credit or benefit of my/our account with
Zerodha for the transactions carried by me/us with Zerodha.
4. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending
and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and
such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at
any time either through the portal of Zerodha or through the internet will be validly constituted attorney to intimate the same to the
Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.
5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or other form as may be
required by the concerned Depository Participant.
6. For these purposes and to this extent, Zerodha is empowered by me/us, to affix their signatures to any document, form or any other
record, being a delivery participant, as required by the concerned depository.
7. I/We also undertake to pay such demat charges/fees and such other charges incurred by Zerodha under this Power of Attorney and that
I/We further authorise Zerodha to debit my/our account with Zerodha with the said charges as and when the same becomes due for
payment and I/we hereby undertake to pay the same immediately on demand made by Zerodha. All charges have been mentioned in the
Tariff sheet in the application form.
8. To register this power of attorney with the Depository Participant and/or with any other party concerned as is required within the
parameters of this Power of Attorney.
9. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public Offers/Offers For Sale of
securities of the companies/units of mutual funds, asset management company or any other “investment products” offered through the
website www.zerodha.com (owned by Zerodha Broking Ltd.) or any other means as per the instructions made available to them by me/us
as on the website or otherwise.
10. To transfer securities held in my/our aforesaid Demat Account towards Exchange(s) related Margin/delivery obligations arising out of
trades executed by me/us through the above said Trading Account with Zerodha on NSE/BSE or any such other Exchange(s) that Zerodha,
the Trading Member, may be a member of at such Recognized Stock Exchange(s) (As defined in Securities Contract Regulation Act, 1956);
provided that I/We have executed a Member – Constituent Agreement/Account opening application form and accepted the Policies &
Procedures of Zerodha, the Trading Member, with respect to such Exchange(s).
11. To debit securities and/or transfer the securities for the purpose of delivering the same to the clearing house of the respective Stock
Exchange(s) directly or through Zerodha’s (Trading Member) Pool Account towards any segment in respect of securities
sold by me/us through the Trading Member and to the Member Client Account for the margins towards Exchange cash segments
and F&O segment respectively.
Zerodha (the Trading Member) may from time to time open such other demat accounts in addition to the account numbers mentioned
below in Annexure B, for the above purpose from time to time. In case of any change in the below account numbers, Zerodha (the Trading
Member) is authorized to transfer the securities to the new account number(s) with prior intimation to me/us regarding such change(s).
12. To pledge the securities in favour of Zerodha (the Trading Member)/Exchange/clearing house for the limited purpose of meeting my/
our margin requirements in connection with the trades executed by me/us on any Recognized Stock Exchange (s) through Zerodha (the
Trading Member).
13. To make payment to any party with respect to the aforesaid products mentioned in Point 9 above on my/our behalf to fulfil the
transaction requested by me/us.
14. To do all such acts/processes as may be necessary to effect the subscription/purchase/redemption or any other such transaction, in
any securities/scrips and/or investment product, for which services are availed from Zerodha.
15. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of
“Investment Product”.}

[Signature]
First/Sole Holder
or Guardian
(in case of Minor)

[Signature]
Second Holder

[Signature]
Third Holder
16. To receive intimation from the Exchange and any other party regarding the allocation/allocation/rejection/regret of the securities or such other “Investment Product” applications / subscriptions / withdrawal or any other communications.

17. To authorize Zerodha to invest on behalf of me/us and to hold the mutual fund/asset management company(ies) based on the request given by me/us. I confirm not to hold such mutual fund/asset management company/Zerodha liable for any transaction processed based on my request to correspond with and give notice to the corresponding asset management company/body corporate(s) issuer/registrar and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated pursuant to the authorization given by me/us to Zerodha in this regard.

18. To deposit/transfer on allotment, the securities, debentures, units of the mutual funds and/or all other investments products applied through Zerodha, on allotment, to my/our Beneficial Owner Demat Account opened for the purpose with the Zerodha-DP

19. To do all other acts and things as may be necessary to affect the subscriptions/purchase/redemption or any other transaction in any investment Product for which services are availed from Zerodha.

20. To debit my Trading account towards trade related fees or charges payable to Zerodha or a third party service provider or to any of the affiliates/subsidiaries of Zerodha by virtue of I/We using/subscribing to any of the facilities/service provided either by Zerodha or through a third party service provider or by any other security or financial instrument on behalf of me/us through Zerodha or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to Zerodha.

21. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.

22. To send the consolidated summary of client wise, scrip wise buy and sell position with average rates by email.

23. To authorize Zerodha to transfer the securities to any of the demat accounts of Zerodha as mentioned in Annexure B.

24. We the joint holders of demat account agree, ratify and confirm to bind ourselves to any instructions given by the client herein above mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney in favour of the Director/(s) and/or the Authorised Signatories, who have in token thereof, subscribed their signature thereto.

That the Power of Attorney herein referred to is revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA.

That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein Zerodha) in this regards shall be deemed to be an action done by me/us and if necessary shall be ratified by me/us on the instruction of the said attorney and that such actions will be binding on me/us.

I/We agree that Zerodha is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the courts in Bangalore.

In witness whereof I/We have executed this revocable Power of Attorney on the day, date and year herein below mentioned.

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**Annexure B**

<table>
<thead>
<tr>
<th>Particulars</th>
<th>DP ID</th>
<th>Client ID</th>
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<tbody>
<tr>
<td>CDSL BSE Principal A/C</td>
<td>12081600</td>
<td>00013706</td>
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<tr>
<td>CDSL NSE Pool A/C</td>
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<td>00000061</td>
</tr>
<tr>
<td>CDSL Client Collateral A/C</td>
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<tr>
<td>CDSL NSE Early Pay-in A/C</td>
<td>11000011</td>
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<table>
<thead>
<tr>
<th>Particulars</th>
<th>DP ID</th>
<th>Client ID</th>
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<tbody>
<tr>
<td>CDSL BSE Early Pay-in A/C</td>
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<td>NSDL BSE Pool A/C</td>
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<tr>
<td>NSDL NSE Pool A/C</td>
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<tr>
<td>CDSL Margin Trading A/C</td>
<td>12081600</td>
<td>25724470</td>
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Dated at Bangalore on this________day of______________________

First/Sole Holder or Guardian (in case of Minor)  
Second Holder  
Third Holder

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**WITNESSES**

<table>
<thead>
<tr>
<th>Witness Name</th>
<th>Witness Name</th>
</tr>
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<tbody>
<tr>
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<td>Signature</td>
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