INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Trustees and whole time directors and persons authorized to deal in commodity derivatives on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below-mentioned list.
3. If any proof of identity or address is in a regional language, then an English translation would be required.
4. Name & address of the applicant mentioned on the KYC form should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FIPB/FEMA guidelines and other applicable statutory approvals), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN number for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.
10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (Note: Documents having an expiry date should be valid on the date of submission.)

2. Utility bills like Telephone Bill (landline), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement / Passbook -- Not more than 3 months old.
4. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-operative Banks / Multinational Foreign Banks / Gazetted Officers / Notary Public / Elected Representatives to the Legislative Assembly / Parliament / Documents issued by any Government or Statutory Authority.
5. Identity card/document with address issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
6. The proof of address in the name of the spouse may be accepted.

D. Exemptions to PAN: (Note: Sufficient documentary evidence in support of such claims to be collected.)

1. Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver, etc.
2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government)

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or
2. Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
3. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.
F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

<table>
<thead>
<tr>
<th>Types of entity</th>
<th>Documentary requirements</th>
</tr>
</thead>
</table>
| **Corporate**         | • Copy of the balance sheets for the last 2 financial years (to be submitted every year).  
                       | • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).  
                       | • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.  
                       | • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.  
                       | • Copies of the Memorandum and Articles of Association and certificate of incorporation.  
                       | • Copy of the Board Resolution for investment in commodity market.  
                       | • Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in commodity derivatives on behalf of company/firm/others and their specimen signatures.  |
| **Partnership firm**  | • Copy of the balance sheets for the last 2 financial years (to be submitted every year).  
                       | • Certificate of registration (for registered partnership firms only).  
                       | • Copy of partnership deed.  
                       | • Authorised signatories list with specimen signatures.  
                       | • Photograph, POI, POA, PAN of Partners.  |
| **Trust**             | • Copy of the balance sheets for the last 2 financial years (to be submitted every year).  
                       | • Certificate of registration (for registered trust only).  
                       | • Copy of Trust deed.  
                       | • List of trustees certified by managing trustees/CA.  
                       | • Photograph, POI, POA, PAN of Trustees.  |
| **HUF**               | • PAN of HUF.  
                       | • Deed of declaration of HUF & List of coparceners.  
                       | • Bank pass-book/bank statement in the name of HUF.  
                       | • Photograph, POI, POA, PAN of Karta.  |
| **Government Bodies** | • Self-certification on letterhead.  
                       | • Authorized signatories list with specimen signatures.  |
| **Registered Society**| • Copy of Registration Certificate under Societies Registration Act.  
                       | • List of Managing Committee members.  
                       | • Committee resolution for persons authorised to act as authorised signatories with specimen signatures.  
                       | • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.  |
## MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Account Opening Form</td>
<td>A. KYC form - Document captures the basic information about the constituent and an instruction/checklist.</td>
<td>3</td>
</tr>
<tr>
<td>2</td>
<td>Tariff Sheet</td>
<td>Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodity exchange(s).</td>
<td>10</td>
</tr>
<tr>
<td>3</td>
<td>Rights and Obligations of Members, Authorized Persons and Clients</td>
<td>Document stating the Rights &amp; Obligations of stock broker/trading member and client for trading on exchanges (including additional rights &amp; obligations in case of internet/wireless technology based trading).</td>
<td>Annexure</td>
</tr>
<tr>
<td>4</td>
<td>Uniform Risk Disclosure Document (RDD)</td>
<td>Document detailing risks associated with dealing in the commodity market, including commodity options.</td>
<td>Annexure</td>
</tr>
<tr>
<td>5</td>
<td>Do’s and Don’ts for the Investors</td>
<td>Document detailing do’s and don’ts for trading on exchange for education of investors</td>
<td>Annexure</td>
</tr>
<tr>
<td>6</td>
<td>Policies and Procedures</td>
<td>Document describing significant policies and procedures of the Broker.</td>
<td>Annexure</td>
</tr>
</tbody>
</table>

## VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>Annexure - N</td>
<td>Disclosure information</td>
<td>12</td>
</tr>
<tr>
<td>8</td>
<td>Annexure - O</td>
<td>Receipt of KYC, RDD, Rights &amp; Obligations and Do’s and Don’t’s</td>
<td>12</td>
</tr>
<tr>
<td>9</td>
<td>Annexure - Q</td>
<td>Letter of authority</td>
<td>14</td>
</tr>
<tr>
<td>10</td>
<td>Appendix - A</td>
<td>Electronic Contract Note (ECN) declaration</td>
<td>11</td>
</tr>
<tr>
<td>11</td>
<td>Annexure - P</td>
<td>Additional Rights &amp; Obligations</td>
<td>13</td>
</tr>
</tbody>
</table>

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**Membership Details**

Name of the Trading Member: Zerodha Commodities Private Limited  
Exchange registration number: MCX - 46025  
SEBI Registration number: INZ000038238

**Registered Office**

Ph : 080-40402020

**Correspondence Address:** Same as above

**Compliance Officer:** Venu Madhav, Phone No. & Email ID: 080-40402020, compliance@zerodha.com  
**CEO:** Nithin Kamath, Phone No. & Email ID: 080-40402020, ceo@zerodha.com  
For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8040402020.

For any grievance/dispute please contact Zerodha at the above address, email ID or phone number.  
In case not satisfied with the response, please contact the concerned exchange at:  
MCX at grievance@mcxindia.com or Phone No.: 022-67318888
ANNEXURE-1
KNOW YOUR CLIENT (KYC) APPLICATION FORM
(For Non-Individuals)

Please fill this form in ENGLISH and in BLOCK LETTERS

A. IDENTITY DETAILS

<table>
<thead>
<tr>
<th>Name of the Applicant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Incorporation</td>
</tr>
<tr>
<td>Place of Incorporation</td>
</tr>
<tr>
<td>Date of Commencement of Business</td>
</tr>
<tr>
<td>PAN Number</td>
</tr>
<tr>
<td>Registration Number (e.g. CIN)</td>
</tr>
<tr>
<td>Any other proof of identity</td>
</tr>
<tr>
<td>Status</td>
</tr>
</tbody>
</table>

(Please affix your recent passport-size photograph and sign across it)

B. ADDRESS DETAILS (Proof of address must be different from the proof of identity submitted)

<table>
<thead>
<tr>
<th>Correspondence Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>City / District / Village</td>
</tr>
<tr>
<td>State</td>
</tr>
<tr>
<td>Pin Code (Compulsory)</td>
</tr>
<tr>
<td>Mobile</td>
</tr>
<tr>
<td>Tel (Off)#</td>
</tr>
<tr>
<td>Tel (Res)#</td>
</tr>
<tr>
<td>Email ID</td>
</tr>
</tbody>
</table>

Specify the proof of address (submitted for correspondence add)

<table>
<thead>
<tr>
<th>Registered Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>City / District / Village</td>
</tr>
<tr>
<td>State</td>
</tr>
<tr>
<td>Pin Code (Compulsory)</td>
</tr>
<tr>
<td>Mobile</td>
</tr>
<tr>
<td>Tel (Off)#</td>
</tr>
<tr>
<td>Tel (Res)#</td>
</tr>
<tr>
<td>Email ID</td>
</tr>
</tbody>
</table>

Specify the proof (submitted for registered address, if any)
C. OTHER DETAILS

Gross Annual Income Details

<table>
<thead>
<tr>
<th>&lt; 1 Lac</th>
<th>1-5 Lac</th>
<th>5-10 Lac</th>
<th>10-25 Lac</th>
<th>25 Lac to 1 Crore</th>
<th>&gt; 1 Crore</th>
</tr>
</thead>
</table>

or Net worth (Rs.)

(Net worth should not be older than 1 year) as on (Date) D D M M Y Y

Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and Whole Time Directors: 

As per Annexure Z1

DIN/DPIN/UID of Promoters/Partners/LLP Partners and Whole time Directors: 

As per Annexure Z1

Please tick as applicable

- Politically Exposed Person (PEP)
- Related to a Politically Exposed Person
- Not a Politically Exposed Person
- Not related to a Politically Exposed Person

D. BANK ACCOUNT(S) DETAILS

<table>
<thead>
<tr>
<th>Bank Name</th>
<th>Branch Address</th>
<th>Bank Account #</th>
<th>Account Type</th>
<th>MICR No.</th>
<th>IFSC Code</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Saving</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Current</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Others</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: Provide a copy of cancelled cheque leaf/ pass book/ bank statement specifying name of the client, MICR code or/and IFSC Code of the bank.

E. DEPOSITORY ACCOUNT(S) DETAILS, if available

<table>
<thead>
<tr>
<th>Depository Participant Name</th>
<th>Depository Name (NSDL/CDSL)</th>
<th>Beneficiary Name</th>
<th>DP ID</th>
<th>Beneficiary ID (BO ID)</th>
</tr>
</thead>
</table>

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

F. TRADING PREFERENCES

Sr. No. | Name of the National Commodity Exchanges # | Date of Consent for trading on concerned Exchange | Signature of the Client
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>MCX (F&amp;O)</td>
<td></td>
<td>F2</td>
</tr>
</tbody>
</table>

G. INVESTMENT/TRADING EXPERIENCE

Investment Experience

- No prior experience
- ___ Years in Commodities
- ___ Years in other investment related fields

H. SALES TAX REGISTRATION DETAILS (As applicable, State wise)

<table>
<thead>
<tr>
<th>Local Sales Tax</th>
<th>State</th>
<th>Registration No.</th>
<th>Validity Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Details of all states where registered)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Central Sales Tax</th>
<th>Registration No.</th>
<th>Validity Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
# I. VAT DETAILS (As applicable, State wise)

<table>
<thead>
<tr>
<th>State</th>
<th>Registration No.</th>
<th>Validity Date</th>
</tr>
</thead>
</table>

# J. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/taken by FMC/ SEBI/ Stock Exchange/ Commodity Exchange/ any other Authority against the client during the last 3 years:

# K. DEALINGS THROUGH OTHER MEMBERS

If client is dealing through any other member, provide the following details (in case dealing with multiple members, provide details of all in a separate sheet containing all the information as mentioned below):

- Member’s/Authorized Person (AP)’s Name
- Exchange
- Exchange Registration No
- Concerned Member’s Name with whom AP is registered
- Registered Office Address
- Phone
- Fax
- Website
- Email
- Client Code

Details of disputes/dues pending from/to such Member/ AP:

# L. INTRODUCER DETAILS (Optional)

<table>
<thead>
<tr>
<th>Name of Introducer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Status of the Introducer</td>
</tr>
<tr>
<td>Address &amp; Ph. No. of Introducer</td>
</tr>
<tr>
<td>Introducer’s Signature</td>
</tr>
</tbody>
</table>

# M. ADDITIONAL DETAILS

Whether you wish to receive communication from member in electronic form on your Email ID

- Yes
- No

{If Yes then please fill in Appendix-A}

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note:

- Physical
- Electronic
DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.

3. I/We further confirm having read and understood the contents of the ‘Rights and Obligations’ document(s), ‘Risk Disclosure Document’ and ‘Dos and Don’ts’. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member’s designated website, if any.

Date: __________________________

Place: __________________________ Signature of Authorised Signatory (ies)

FOR OFFICE USE ONLY

<table>
<thead>
<tr>
<th>UCC Code allotted to the Client</th>
<th>Documents verified with Originals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of the Employee</td>
<td></td>
</tr>
<tr>
<td>Employee Code</td>
<td></td>
</tr>
<tr>
<td>Designation of the Employee</td>
<td></td>
</tr>
<tr>
<td>Date of Verification</td>
<td></td>
</tr>
<tr>
<td>Signature of Employee</td>
<td></td>
</tr>
</tbody>
</table>

I/We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of ‘Rights and Obligations’ document(s), RDD, ‘Dos and Don’ts’ and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the ‘Rights and Obligations’ and RDD would be made available on my/our website, if any, for the information of the clients.

Date: __________________________

________________________________ Signature of ZERODHA’s Authorized Signatory

Seal/Stamp of the Member
INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY MEMBERS TO CLIENT

(All the clauses mentioned in the ‘Rights and Obligations’ document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. Member is eligible for providing Internet based trading (IBT) and commodities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The Member shall comply with all requirements applicable to internet based trading/commodities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing/trading in commodities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for commodities trading through use of wireless technology. The Member shall provide the Member's IBT Service to the Client, and the Client shall avail of the Member's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Member's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The Member shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with commodities trading through wireless technology/internet or any other technology should be brought to the notice of the client by the Member.

4. The Member shall make the client aware that the Member's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.

5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Member's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/commodities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the Member.

6. The Client shall immediately notify the Member in writing if he forgets his password, discovers security flaw in Member's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.

7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/commodities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.

8. The Member shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the Member shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Member and the Exchange do not make any representation or warranty that the Member's IBT Service will be available to the Client at all times without any interruption.

10. The Client shall not have any claim against the Exchange or the Member on account of any suspension, interruption, non-availability or malfunctioning of the Member's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Members/Exchange end for any reason beyond the control of the Member/Exchanges.
Tariff sheet

To
Zerodha Commodities Private Limited
153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

Charges for Zerodha trading services
I/ We agree to pay the charges as per following charge structure for our trading account with Zerodha effective from the time of account opening.
Client ID: ________________

<table>
<thead>
<tr>
<th>Charge Head</th>
<th>Brokerage/Charges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commodity futures</td>
<td>0.01% of turnover or Rs. 20 per executed order, whichever is lower</td>
</tr>
<tr>
<td>Commodity options</td>
<td>Rs. 20 per executed order</td>
</tr>
</tbody>
</table>

Clients who opt to receive physical contract notes will be charged Rs. 20 per contract note plus courier charges at actual. In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges

Note: Brokerage will not exceed the rates specified by SEBI and the Exchanges. All Statutory and Regulatory charges will be levied at actuals. Brokerage is also charged on expired, exercised, and assigned Options contracts. Turnover for Options is calculated as (Strike + Premium)*Lot Size

Detailed explanation of the Schedule of Charges is available online at: https://zerodha.com/charge-list

Date: ____________________________

Signature of Authorised Signatory (ies): ____________________________
Electronic Contract Note (ECN) declaration (Voluntary)

To,

Zerodha Commodities Private Limited
#153/154, 4th Cross Dollars Colony, Opp. Clarence Public School,
J.P Nagar 4th Phase, Bangalore - 560078

Dear Sir,

I, ________________________________________________________________

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email ID must be written in the client’s own handwriting in the space below.)

Date :

Place :

Client Signature

Name
PAN ____________________________ Unique Client Code
Address :

Date :

Place :

For office use only

Verification of client signature done by

Signature ____________________________

Date D D M M Y Y Y Y

Name of the designated officer of the Member

Sign wherever you see yourself want the same in the electronic form.

• I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.

• I am aware that the Member has to provide electronic contract note for my convenience on my request only.

• Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me.

• I have access to a computer and am a regular Internet user, having sufficient knowledge of handling the email operations.

• The email ID mentioned below is mine. This has been created by me and not by someone else.

• I am aware that this declaration form should be in English or in any other Indian language known to me.

• I am aware that non-receipt of bounced email notification by the member shall amount to delivery of the contract note at the above email ID.

The above declaration and guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email ID must be written in the client’s own handwriting in the space below.)
Disclosure Information

Dear Sir/Madam,

This is to inform you as per Rules, Regulations and Bye-laws of Multi Commodity Exchange of India Ltd. (MCX) that we do client based trading and Pro-account trading and we are not indulged in portfolio management services.

Regards,
Zerodha Commodities Private Limited

I/We acknowledge receipt of information given above by Zerodha Commodities Private Limited that they do client base trading and Pro-account trading and they are not indulged in portfolio management services.

To
Zerodha Commodities Private Limited

Sub: Acknowledgement
This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

<table>
<thead>
<tr>
<th>Sl. No</th>
<th>Document</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Duly Executed Copy of KYC</td>
</tr>
<tr>
<td>2</td>
<td>Rights and Obligations</td>
</tr>
<tr>
<td>3</td>
<td>Risk Disclosure Document (RDD), including RDD for trading options</td>
</tr>
<tr>
<td>4</td>
<td>Guidance Note - Do's and Don'ts for trading on the Exchange(s) for investors</td>
</tr>
<tr>
<td>5</td>
<td>Policies and Procedures</td>
</tr>
<tr>
<td>6</td>
<td>Additional Rights &amp; Obligations</td>
</tr>
</tbody>
</table>

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Zerodha Commodities Pvt. Ltd.
Dear Sir,

I am/We are dealing in commodities with you and in order to facilitate ease of operations, I/we authorize you as under:

1. Authorization for Payment of Depository Participant Charges: I am having depository account with Zerodha Commodities Pvt Ltd. I request you to debit my trading Account against the demand raised by the depository division of Zerodha Commodities Pvt Ltd. towards my Depository Charges. I also agree to maintain the adequate balance in my trading account / pay adequate advance fee for the said reason.

2. Authorization to Retain Credit Balance: I / We request you to retain credit balance in any of my / our account and to use the idle funds towards our margin/future obligations at the Exchanges unless I/ we instruct you otherwise.

3. Authorization for Communication of Financial Products: I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which Zerodha Commodities Private Limited, its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.

4. Running Account Authorization: I/We am /are desirous of regularly dealing in commodity market on Commodity Exchanges and request you to maintain a running account for funds and commodities on/our behalf without settling the account on settlement of each transaction on my/our behalf. I/We further request you to retain all amounts payable and commodities receivable by me/us until specifically requested by me/us in writing to be settled or to be dealt with in any other manner. I/We understand and agree that no interest will be payable to me/us on the amounts or commodities so retained with you pending periodical settlement. This running account authorization would continue until it is revoked by me.

I/We request you to settle my fund and securities account (Choose one Option)

☐ Once in a calendar Month
☐ Once in every calendar Quarter

except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt

All accounts with credit balances of Rs. 50000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos.MCX/INSP/325/2016. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

5. I understand that in case my account is in debit balance @0.05% and/or if I have insufficient funds to manage my trading positions, I will be charged interest as delayed payment charges. I confirm having read the rules & regulation pertaining to the levy of such interest under the policies & procedures page on Zeroda’s website.

6. Authorization for not Providing Confirmation Slips: I/We authorize you not to provide me/us Order Confirmation /Modification/Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.

7. Authorization for Providing Financial Ledger in Digital Form: I/we hereby authorize you to send me/us the financial ledger in the digital form in the prescribed formed at my/our E-mail ID/Address.

Date: ____________________________

Place: ____________________________

Signature of Authorised Signatory (ies) ____________________________

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